

Forward with Hoare!

2009: Tony Hoare is 75 and Hoare Logic is 40!

An Axiomatic Basis for
Computer Programming

C. A. R. Hoare, 1969

Overview of talk:

- ▶ Review of Hoare Logic
- ▶ Mechanical proof
- ▶ Forwards versus backwards

[Slides that follow are based on joint work with H el ene Collavizza]

Hoare's Axiomatic Basis for Computer Programming

- ▶ Originally both
 - ▶ an axiomatic language definition method and
 - ▶ a proof theory for program verification
- ▶ This talk focuses on the verification role
 - ▶ after 40 years it is still a key idea in program correctness
- ▶ However, instead of
 - “... accepting the axioms and rules of inference as the ultimately definitive specification of the meaning of the language.”*

can derive axioms and rules from language semantics

Range of methods for proving $\{P\}C\{Q\}$

- ▶ Bounded model checking (BMC)
 - ▶ unwind loops a finite number of times
 - ▶ then symbolically execute
 - ▶ check states reached satisfy decidable properties

- ▶ Full proof of correctness
 - ▶ add invariants to loops
 - ▶ generate verification conditions
 - ▶ prove verification conditions with a theorem prover

- ▶ Goal: unifying framework for a spectrum of methods



decidable checking

proof of correctness

Some history: concepts related to $\{P\} C \{Q\}$

- ▶ $WP C Q$ is Dijkstra's 'weakest liberal precondition'
(i.e. partial correctness: $wlp.C.Q$ from Dijkstra & Scholten)
 - ▶ precondition $WP C Q$ ensures Q holds after C terminates
 - ▶ $wlp.C.Q$ is weakest solution of $P : (\{P\} C \{Q\})$
(*Predicate Calculus & Program Semantics*, Dijkstra & Scholten, 1990)

- ▶ $SP C P$ is 'strongest postcondition'
($sp.C.Q$ in Dijkstra & Scholten, Ch.12 – not $stp.C.Q$)
 - ▶ $SP C P$ holds after C terminates if started when P holds
 - ▶ $sp.C.P$ is strongest solution of $Q : (\{P\} C \{Q\})$

Defining specification notions by semantic embedding

- ▶ Semantics of commands C given by binary relation $\llbracket C \rrbracket$
 - ▶ $\llbracket C \rrbracket(s, s')$ means if C run in s then it will terminate in s'
 - ▶ s is the initial state; s' is a final state
 - ▶ commands assumed deterministic – at most one final state (“Formalizing Dijkstra” by J. Harrison for non-determinism)
- ▶ $\{P\}C\{Q\} =_{def} \forall s s'. P s \wedge \llbracket C \rrbracket(s, s') \Rightarrow Q s'$
- ▶ $WP C Q =_{def} \lambda s. \forall s'. \llbracket C \rrbracket(s, s') \Rightarrow Q s'$
- ▶ $\vdash \{P\}C\{Q\} = \forall s. P s \Rightarrow WP C Q s$
- ▶ $SP C P =_{def} \lambda s'. \exists s. P s \wedge \llbracket C \rrbracket(s, s')$
- ▶ $\vdash \{P\}C\{Q\} = \forall s. SP C P s \Rightarrow Q s$

Details and notations

- ▶ $\{P\}C\{Q\} =_{def} \forall s s'. P s \wedge \llbracket C \rrbracket(s, s') \Rightarrow Q s'$
 - ▶ $P, Q : state \rightarrow bool$
 - ▶ $state = string \mapsto value$ (finite map)
 - ▶ $s[x \mapsto v]$ is the state mapping x to v and like s elsewhere
 - ▶ $[x_1 \mapsto v_1; \dots; x_n \mapsto v_n]$ has domain $\{x_1, \dots, x_n\}$; maps x_i to v_i
 - ▶ $\llbracket C \rrbracket : state \times state \rightarrow bool$
 - ▶ $\llbracket B \rrbracket : state \rightarrow bool$
 - ▶ $\llbracket E \rrbracket : state \rightarrow value$
 - ▶ $WP C : (state \rightarrow bool) \rightarrow (state \rightarrow bool)$
 - ▶ $SP C : (state \rightarrow bool) \rightarrow (state \rightarrow bool)$
- ▶ Overload $\wedge, \vee, \Rightarrow, \neg$ to pointwise operations on predicates
 - ▶ $(P_1 \wedge P_2) s = P_1 s \wedge P_2 s$
 - ▶ $(P_1 \vee P_2) s = P_1 s \vee P_2 s$
 - ▶ $(P_1 \Rightarrow P_2) s = P_1 s \Rightarrow P_2 s$
 - ▶ $(\neg P) s = \neg(P s)$
- ▶ Define: $TAUT(P) =_{def} \forall s. P s$ and $SAT(P) =_{def} \exists s. P s$

Proving $\{P\}C\{Q\}$ by calculating $WP\ C\ Q$

- ▶ Easy consequences of definition of WP
 - ▶ $WP(\text{SKIP})\ Q = Q$
 - ▶ $WP(X := E)\ Q = \lambda s. Q(s[X \rightarrow \llbracket E \rrbracket s])$
 - ▶ $WP(C_1 ; C_2)\ Q = WP\ C_1\ (WP\ C_2\ Q)$
 - ▶ $WP(\text{IF } B \text{ THEN } C_1 \text{ ELSE } C_2)\ Q =$
 $(\llbracket B \rrbracket \Rightarrow WP\ C_1\ Q) \wedge (\neg \llbracket B \rrbracket \Rightarrow WP\ C_2\ Q)$
 - ▶ $WP(\text{WHILE } B \text{ DO } C)\ Q =$
 $(\llbracket B \rrbracket \Rightarrow WP\ C\ (WP(\text{WHILE } B \text{ DO } C)\ Q)) \wedge (\neg \llbracket B \rrbracket \Rightarrow Q)$
- ▶ To prove $\{P\}C\{Q\}$ for straight line code
 - ▶ calculate $WP\ C\ Q$ back substitution + case splits
 - ▶ prove $\forall s. P\ s \Rightarrow WP\ C\ Q\ s$ use a theorem prover

Proving $\{P\}C\{Q\}$ by calculating $SP C P$

- ▶ Easy consequences of definition of SP
 - ▶ $SP \text{ SKIP } P = P$
 - ▶ $SP (X := E) P = \lambda s'. \exists s. P s \wedge (s' = s[X \rightarrow \llbracket E \rrbracket s])$
 - ▶ $SP (C_1 ; C_2) P = SP C_2 (SP P C_1)$
 - ▶ $SP (\text{IF } B \text{ THEN } C_1 \text{ ELSE } C_2) P = SP C_1 (P \wedge \llbracket B \rrbracket) \vee SP C_2 (P \wedge \neg \llbracket B \rrbracket)$
 - ▶ $SP (\text{WHILE } B \text{ DO } C) P = SP (\text{WHILE } B \text{ DO } C) (SP (P \wedge \llbracket B \rrbracket) C) \vee (P \wedge \neg \llbracket B \rrbracket)$
- ▶ To prove $\{P\}C\{Q\}$ for straight line code
 - ▶ calculate $SP P C$ assignment generated \exists s a problem
 - ▶ prove $\forall s'. SP C P s' \Rightarrow Q s'$ use a theorem prover

Pruning conditional branches when going forwards

- ▶ Recall

$$SP (\text{IF } B \text{ THEN } C_1 \text{ ELSE } C_2) P = \\ SP C_1 (P \wedge \llbracket B \rrbracket) \vee SP C_2 (P \wedge \neg \llbracket B \rrbracket)$$

- ▶ Because $SP C (\lambda s. F) = \lambda s'. F$ it follows that

$$(P \Rightarrow \llbracket B \rrbracket)$$

\Rightarrow

$$SP (\text{IF } B \text{ THEN } C_1 \text{ ELSE } C_2) P = SP C_1 (P \wedge \llbracket B \rrbracket)$$

$$(P \Rightarrow \neg \llbracket B \rrbracket)$$

\Rightarrow

$$SP (\text{IF } B \text{ THEN } C_1 \text{ ELSE } C_2) P = SP C_2 (P \wedge \neg \llbracket B \rrbracket)$$

- ▶ Hence can simplify if accumulated constraints implies test

Pruning conditional branches when going backwards

- ▶ Recall

$$WP(\text{IF } B \text{ THEN } C_1 \text{ ELSE } C_2) Q = \\ ([B] \Rightarrow WP C_1 Q) \wedge (\neg[B] \Rightarrow WP C_2 Q)$$

- ▶ Hence

$$([B] \Rightarrow WP C_1 Q) \\ \Rightarrow \\ WP(\text{IF } B \text{ THEN } C_1 \text{ ELSE } C_2) Q = (\neg[B] \Rightarrow WP C_2 Q)$$

$$(\neg[B] \Rightarrow WP C_2 Q) \\ \Rightarrow \\ WP(\text{IF } B \text{ THEN } C_1 \text{ ELSE } C_2) Q = ([B] \Rightarrow WP C_1 Q)$$

- ▶ Backwards pruning conditions involve C_1 or C_2
 - ▶ forwards pruning natural – generalised execution
 - ▶ forwards pruning conditions don't involve C_1 or C_2

Backwards or forwards?

- ▶ Calculating $WP C Q$ is easy but leads to big formulae
 - ▶ can't use symbolic state to prune case splits 'on-the-fly'
- ▶ Calculating $SP C P$ generates \exists at assignments
 - ▶ at branches symbolic state can reject infeasible paths
- ▶ Consider $\{P\}C_1 ; (\text{IF } B \text{ THEN } C_2 \text{ ELSE } C_3) ; C_4\{Q\}$
 - ▶ going forwards P and effect of C_1 might determine B
 - ▶ if P specifies a unique state, computing SP is execution
- ▶ Example

$\{J \leq I\}$

$K := 0;$

$\text{IF } I < J \text{ THEN } K := K + 1 \text{ ELSE SKIP};$

$\text{IF } K = 1 \wedge \neg(I = J) \text{ THEN } R := J - I \text{ ELSE } R := I - J$

$\{R = I - J\}$

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Summary so far

- ▶ Define $\{P\}C\{Q\}$, $WP\ C\ Q$ and $SP\ C\ P$ from semantics
- ▶ Prove rules for calculating $WP\ C\ Q$ and $SP\ C\ P$
 - ▶ one-off proofs
- ▶ For particular P, C, Q , to prove $\{P\}C\{Q\}$:
 - ▶ calculate $WP\ C\ Q$ by backwards substitution
 - ▶ prove $\forall s. P\ s \Rightarrow WP\ C\ Q\ s$ using theorem proveror
 - ▶ calculate $SP\ C\ P$ by symbolic execution
 - ▶ prove $\forall s'. SP\ C\ P\ s' \Rightarrow Q\ s'$ using theorem prover
- ▶ Next: what about loops?

Can't compute finite *WP* or *SP* for loops

- ▶ **Loop-free:** can calculate finite formulae for *WP* and *SP*
- ▶ **Loops:** no simple finite formula for *WP* or *SP* in general
 - ▶ $WP(\text{WHILE } B \text{ DO } C) Q =$
 $(\llbracket B \rrbracket \wedge WP C (WP(\text{WHILE } B \text{ DO } C) Q)) \vee (\neg \llbracket B \rrbracket \wedge Q)$
 - ▶ $SP(\text{WHILE } B \text{ DO } C) P =$
 $(SP(\text{WHILE } B \text{ DO } C) (SP C (P \wedge \llbracket B \rrbracket))) \vee (P \wedge \neg \llbracket B \rrbracket)$
- ▶ Solution inspired by Hoare logic rule (*R* is an invariant)
$$\frac{\vdash P \Rightarrow R \quad \vdash \{R \wedge B\} C \{R\} \quad \vdash R \wedge \neg B \Rightarrow Q}{\vdash \{P\} \text{WHILE } B \text{ DO } C \{Q\}}$$
- ▶ Use **approximate** *WP* or *SP* plus **verification conditions**

Method of verification conditions (VCs)

- ▶ Define *AWP* and *ASP* (“A” for “approximate”)
 - ▶ like *WP*, *SP* for skip, assignment, sequencing, conditional
 - ▶ for while-loops assume invariant *R* magically supplied

$$AWP(\text{WHILE } B \text{ DO } \{R\} C) Q = R$$

$$ASP(\text{WHILE } B \text{ DO } \{R\} C) P = R \wedge \neg[B]$$

- ▶ Define *WVC* *C* *Q* and *SVC* *C* *P* to generate VCs (details later)

- ▶ Prove $\{P\}C\{Q\}$ using theorems

$$WVC C Q \Rightarrow \{AWP C Q\}C\{Q\}$$

$$SVC C P \Rightarrow \{P\}C\{ASP C P\}$$

- ▶ If *C* is loop-free (i.e. straight line code) then this becomes

$$T \Rightarrow \{WP C Q\}C\{Q\}$$

$$T \Rightarrow \{P\}C\{SP C P\}$$

A problem

- ▶ Have $SP C (\lambda s. F) = (\lambda s'. F)$ so can reduce

$$SP (IF B THEN C_1 ELSE C_2) P$$

to

$$SP C_1 (P \wedge \llbracket B \rrbracket) \text{ or } SP C_2 (P \wedge \neg \llbracket B \rrbracket)$$

if P determines value of $\llbracket B \rrbracket$

- ▶ But $ASP C (\lambda s. F)$ is not necessarily $(\lambda s'. F)$

$$ASP (\text{WHILE } B \text{ DO } \{R\} C) P = R \wedge \neg \llbracket B \rrbracket$$

so cannot reduce $ASP (IF B THEN C_1 ELSE C_2) P$

- ▶ A solution is to define

$$ASP (\text{WHILE } B \text{ DO } \{R\} C) P = \\ \lambda s'. \text{SAT}(P) \wedge R s' \wedge \neg(\llbracket B \rrbracket s')$$

- ▶ Can then show $ASP C (\lambda s. F) = (\lambda s'. F)$

- ▶ A dual argument suggests defining

$$AWP (\text{WHILE } B \text{ DO } \{R\} C) Q = \lambda s. \text{SAT}(\neg Q) \Rightarrow R s$$

(note: $\text{SAT}(\neg Q) = \neg(\text{TAUT}(Q))$)

A problem

- ▶ Have $SP\ C\ (\lambda s. F) = (\lambda s'. F)$ so can reduce

$$SP\ (IF\ B\ THEN\ C_1\ ELSE\ C_2)\ P$$

to

$$SP\ C_1\ (P \wedge \llbracket B \rrbracket) \text{ or } SP\ C_2\ (P \wedge \neg \llbracket B \rrbracket)$$

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$$ASP\ (WHILE\ B\ DO\ \{R\}\ C)\ P = \\ \lambda s'. SAT(P) \wedge R\ s' \wedge \neg(\llbracket B \rrbracket\ s')$$

- ▶ Can then show $ASP\ C\ (\lambda s. F) = (\lambda s'. F)$

- ▶ A dual argument suggests defining

$$AWP\ (WHILE\ B\ DO\ \{R\}\ C)\ Q = \lambda s. SAT(\neg Q) \Rightarrow R\ s$$

(note: $SAT(\neg Q) = \neg(TAUT(Q))$)

Summary: definitions of *ASP* and *AWP*

$$ASP \text{ SKIP } P = P$$

$$ASP (X := E) P = \lambda s'. \exists s. P s \wedge (s' = s[X \rightarrow \llbracket E \rrbracket s])$$

$$ASP (C_1 ; C_2) P = ASP C_2 (ASP C_1 P)$$

$$ASP (\text{IF } B \text{ THEN } C_1 \text{ ELSE } C_2) P = \\ SP C_1 (P \wedge \llbracket B \rrbracket) \vee SP C_2 (P \wedge \neg \llbracket B \rrbracket)$$

$$ASP (\text{WHILE } B \text{ DO } \{R\} C) P = \lambda s'. SAT(P) \wedge R s' \wedge \neg(\llbracket B \rrbracket s')$$

$$AWP \text{ SKIP } Q = Q$$

$$AWP (X := E) Q = \lambda s. Q(s[X \rightarrow \llbracket E \rrbracket s])$$

$$AWP (C_1 ; C_2) Q = AWP C_1 (AWP C_2 Q)$$

$$AWP (\text{IF } B \text{ THEN } C_1 \text{ ELSE } C_2) Q = \\ (\llbracket B \rrbracket \Rightarrow WP C_1 Q) \wedge (\neg \llbracket B \rrbracket \Rightarrow WP C_2 Q)$$

$$AWP (\text{WHILE } B \text{ DO } \{R\} C) Q = \lambda s. SAT(\neg Q) \Rightarrow R s$$

Calculating verification conditions

- ▶ **SVC** P C is a 'forwards' calculation

$$\text{SVC SKIP } P = T$$

$$\text{SVC } (X := E) P = T$$

$$\text{SVC } (C_1 ; C_2) P = \text{SVC } C_1 P \wedge \text{SVC } C_2 (\text{ASP } C_1 P)$$

$$\begin{aligned} \text{SVC } (\text{IF } B \text{ THEN } C_1 \text{ ELSE } C_2) P = \\ \text{SAT}(P \wedge [B]) \Rightarrow \text{SVC } C_1 (P \wedge [B]) \wedge \\ \text{SAT}(P \wedge \neg[B]) \Rightarrow \text{SVC } C_2 (P \wedge \neg[B]) \end{aligned}$$

$$\begin{aligned} \text{SVC } (\text{WHILE } B \text{ DO } \{R\} C) P = \\ \text{TAUT}(P \Rightarrow R) \wedge \text{TAUT}(\text{ASP } C (R \wedge [B]) \Rightarrow R) \wedge \text{SVC } C (R \wedge [B]) \end{aligned}$$

- ▶ **WVC** C Q is a standard 'backwards' calculation

$$\text{WVC } (\text{SKIP}) Q = T$$

$$\text{WVC } (X := E) Q = T$$

$$\text{WVC } (C_1 ; C_2) Q = \text{WVC } C_1 (\text{AWP } C_2 Q) \wedge \text{WVC } C_2 Q$$

$$\begin{aligned} \text{WVC } (\text{IF } B \text{ THEN } C_1 \text{ ELSE } C_2) Q = \\ \text{TAUT}(Q) \vee (\text{WVC } C_1 Q \wedge \text{WVC } C_2 Q) \end{aligned}$$

$$\begin{aligned} \text{WVC } (\text{WHILE } B \text{ DO } \{R\} C) Q = \\ \text{TAUT}(R \wedge B \Rightarrow \text{AWP } C R) \wedge \text{TAUT}(R \wedge \neg[B] \Rightarrow Q) \wedge \text{WVC } C R \end{aligned}$$

Calculating verification conditions

- ▶ $SVC\ P\ C$ is a 'forwards' calculation

$$SVC\ SKIP\ P = T$$

$$SVC\ (X := E)\ P = T$$

$$SVC\ (C_1 ; C_2)\ P = SVC\ C_1\ P \wedge SVC\ C_2\ (ASP\ C_1\ P)$$

$$SVC\ (IF\ B\ THEN\ C_1\ ELSE\ C_2)\ P = \\ SAT(P \wedge [B]) \Rightarrow SVC\ C_1\ (P \wedge [B]) \wedge \\ SAT(P \wedge \neg[B]) \Rightarrow SVC\ C_2\ (P \wedge \neg[B])$$

$$SVC\ (WHILE\ B\ DO\ \{R\}\ C)\ P = \\ TAUT(P \Rightarrow R) \wedge TAUT(ASP\ C\ (R \wedge [B]) \Rightarrow R) \wedge SVC\ C\ (R \wedge [B])$$

- ▶ $WVC\ C\ Q$ is a standard 'backwards' calculation

$$WVC\ (SKIP)\ Q = T$$

$$WVC\ (X := E)\ Q = T$$

$$WVC\ (C_1 ; C_2)\ Q = WVC\ C_1\ (ASP\ C_2\ Q) \wedge WVC\ C_2\ Q$$

$$WVC\ (IF\ B\ THEN\ C_1\ ELSE\ C_2)\ Q = \\ TAUT(Q \wedge [B]) \Rightarrow WVC\ C_1\ (Q \wedge [B]) \wedge \\ TAUT(Q \wedge \neg[B]) \Rightarrow WVC\ C_2\ (Q \wedge \neg[B])$$

$$WVC\ (WHILE\ B\ DO\ \{R\}\ C)\ Q = \\ TAUT(Q \Rightarrow R) \wedge TAUT(ASP\ C\ (R \wedge [B]) \Rightarrow R) \wedge WVC\ C\ (R \wedge [B])$$

Calculating verification conditions

- ▶ **SVC P C** is a 'forwards' calculation

$$\text{SVC SKIP } P = \text{T}$$

$$\text{SVC } (X := E) P = \text{T}$$

$$\text{SVC } (C_1 ; C_2) P = \text{SVC } C_1 P \wedge \text{SVC } C_2 (\text{ASP } C_1 P)$$

$$\begin{aligned} \text{SVC } (\text{IF } B \text{ THEN } C_1 \text{ ELSE } C_2) P = \\ \text{SAT}(P \wedge [B]) \Rightarrow \text{SVC } C_1 (P \wedge [B]) \wedge \\ \text{SAT}(P \wedge \neg[B]) \Rightarrow \text{SVC } C_2 (P \wedge \neg[B]) \end{aligned}$$

$$\begin{aligned} \text{SVC } (\text{WHILE } B \text{ DO } \{R\} C) P = \\ \text{TAUT}(P \Rightarrow R) \wedge \text{TAUT}(\text{ASP } C (R \wedge [B]) \Rightarrow R) \wedge \text{SVC } C (R \wedge [B]) \end{aligned}$$

- ▶ **WVC C Q** is a standard 'backwards' calculation

$$\text{WVC } (\text{SKIP}) Q = \text{T}$$

$$\text{WVC } (X := E) Q = \text{T}$$

$$\text{WVC } (C_1 ; C_2) Q = \text{WVC } C_1 (\text{AWP } C_2 Q) \wedge \text{WVC } C_2 Q$$

$$\begin{aligned} \text{WVC } (\text{IF } B \text{ THEN } C_1 \text{ ELSE } C_2) Q = \\ \text{TAUT}(Q) \vee (\text{WVC } C_1 Q \wedge \text{WVC } C_2 Q) \end{aligned}$$

$$\begin{aligned} \text{WVC } (\text{WHILE } B \text{ DO } \{R\} C) Q = \\ \text{TAUT}(R \wedge [B] \Rightarrow \text{AWP } C R) \wedge \text{TAUT}(R \wedge \neg[B] \Rightarrow Q) \wedge \text{WVC } C R \end{aligned}$$

Calculating verification conditions

- ▶ $SVC P C$ is a 'forwards' calculation

$$SVC \text{ SKIP } P = T$$

$$SVC (X := E) P = T$$

$$SVC (C_1 ; C_2) P = SVC C_1 P \wedge SVC C_2 (ASP C_1 P)$$

$$SVC (\text{IF } B \text{ THEN } C_1 \text{ ELSE } C_2) P = \\ SAT(P \wedge [B]) \Rightarrow SVC C_1 (P \wedge [B]) \wedge \\ SAT(P \wedge \neg[B]) \Rightarrow SVC C_2 (P \wedge \neg[B])$$

$$SVC (\text{WHILE } B \text{ DO } \{R\} C) P = \\ TAUT(P \Rightarrow R) \wedge TAUT(ASP C (R \wedge [B]) \Rightarrow R) \wedge SVC C (R \wedge [B])$$

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$$WVC (X := E) Q = T$$

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$$WVC (\text{IF } B \text{ THEN } C_1 \text{ ELSE } C_2) Q = \\ TAUT(Q) \vee (WVC C_1 Q \wedge WVC C_2 Q)$$

$$WVC (\text{WHILE } B \text{ DO } \{R\} C) Q = \\ TAUT(R \wedge [B] \Rightarrow AWP C R) \wedge TAUT(R \wedge \neg[B] \Rightarrow Q) \wedge WVC C R$$

Symbolic execution of loops

$$ASP(\text{WHILE } B \text{ DO } \{R\} C) P = \lambda s'. \text{SAT}(P) \wedge R s' \wedge \neg(\llbracket B \rrbracket s')$$

- ▶ New state satisfying invariant R and loop-exit condition
- ▶ Pre and post loop states linked by verification conditions

$$\begin{aligned} SVC(\text{WHILE } B \text{ DO } \{R\} C) P = \\ \text{TAUT}(P \Rightarrow R) \wedge \text{TAUT}(ASP C (R \wedge \llbracket B \rrbracket) \Rightarrow R) \wedge SVC C (R \wedge \llbracket B \rrbracket) \end{aligned}$$

- ▶ Various approaches to symbolic execution:
 - ▶ generate fresh set of state variables
(need some metatheoretic proof of correctness)
 - ▶ manage variable scopes inside logic using \exists
(correct-by-construct, but inefficient)

- ▶ Question (Plotkin)

- ▶ is there a semantics characterisation of AWP and ASP ?

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Shallow embedding of symbolic execution in logic

▶ $\vdash SP(X := E) P = \lambda s'. \exists s. P s \wedge (s' = s[X \rightarrow \llbracket E \rrbracket s])$

▶ Consider P of form

$$\lambda s. \exists x_1 \dots x_n. S \wedge (s = \llbracket \bar{X} \rightarrow \bar{e} \rrbracket)$$

where

- ▶ X_1, \dots, X_n are distinct program variables (string constants)
- ▶ x_1, \dots, x_n are logic variables (i.e. symbolic values)
- ▶ S, e_1, \dots, e_n only contain variables x_1, \dots, x_n and constants
- ▶ $\llbracket \bar{X} \rightarrow \bar{e} \rrbracket$ abbreviates $\llbracket X_1 \rightarrow e_1; \dots; X_n \rightarrow e_n \rrbracket$

▶ It follows that

$$\begin{aligned} \vdash SP(X_i := E_i) (\lambda s. \exists x_1 \dots x_n. S \wedge (s = \llbracket \bar{X} \rightarrow \bar{e} \rrbracket)) \\ = \lambda s. \exists x_1 \dots x_n. S \wedge (s = \llbracket \bar{X} \rightarrow \bar{e} \rrbracket [X_i \rightarrow (\llbracket E_i \rrbracket \llbracket \bar{X} \rightarrow \bar{e} \rrbracket)]) \end{aligned}$$

where

$$\begin{aligned} \llbracket \bar{X} \rightarrow \bar{e} \rrbracket [X_i \rightarrow (\llbracket E_i \rrbracket \llbracket \bar{X} \rightarrow \bar{e} \rrbracket)] \\ = \llbracket X_1 \rightarrow e_1, \dots, X_i \rightarrow (\llbracket E_i \rrbracket \llbracket \bar{X} \rightarrow \bar{e} \rrbracket), \dots, X_n \rightarrow e_n \rrbracket \end{aligned}$$

Symbolic state notation for predicates

- ▶ Abbreviate

$$\lambda s. \exists x_1 \dots x_n. S \wedge (s = [\bar{X} \rightarrow \bar{e}])$$

as

$$\langle \exists \bar{x}. S \wedge X_1 = e_1 \wedge \dots \wedge X_n = e_n \rangle$$

then it follows that

$$\begin{aligned} SP(X_j := E_j) \langle \exists \bar{x}. S \wedge X_1 = e_1 \wedge \dots \wedge X_n = e_n \rangle \\ = \langle \exists \bar{x}. S \wedge X_1 = e_1 \wedge \dots \wedge X_j = \llbracket E_j \rrbracket [\bar{X} \rightarrow \bar{e}] \wedge \dots \wedge X_n = e_n \rangle \end{aligned}$$

- ▶ Computing SP is now symbolic execution

- ▶ symbolic state term: $\langle \exists \bar{x}. S \wedge X_1 = e_1 \wedge \dots \wedge X_n = e_n \rangle$
- ▶ no new existential quantifiers generated by assignments!
- ▶ $SP \text{ SKIP } P = P$
- ▶ $SP(C_1; C_2) P = SP C_2 (SP C_1 P)$

- ▶ Simpler symbolic state representation OK for loop-free code

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Symbolic execution of conditional branches

- ▶ Recall

$$\begin{aligned} SP (\text{IF } B \text{ THEN } C_1 \text{ ELSE } C_2) P \\ = SP C_1 (P \wedge \llbracket B \rrbracket) \vee SP C_2 (P \wedge \neg \llbracket B \rrbracket) \end{aligned}$$

- ▶ Now

$$\begin{aligned} & \langle \exists \bar{x}. S \wedge X_1=e_1 \wedge \dots \wedge X_n=e_n \rangle \wedge \llbracket B \rrbracket \\ & = (\lambda s. \exists x_1 \dots x_n. S \wedge (s = \llbracket \bar{X} \rightarrow \bar{e} \rrbracket)) \wedge \llbracket B \rrbracket \\ & = \lambda s. (\exists x_1 \dots x_n. S \wedge (s = \llbracket \bar{X} \rightarrow \bar{e} \rrbracket)) \wedge \llbracket B \rrbracket s \\ & = \lambda s. \exists x_1 \dots x_n. S \wedge (s = \llbracket \bar{X} \rightarrow \bar{e} \rrbracket) \wedge \llbracket B \rrbracket s \\ & = \lambda s. (\exists x_1 \dots x_n. S \wedge (s = \llbracket \bar{X} \rightarrow \bar{e} \rrbracket) \wedge \llbracket B \rrbracket \llbracket \bar{X} \rightarrow \bar{e} \rrbracket) \\ & = \lambda s. \exists x_1 \dots x_n. (S \wedge \llbracket B \rrbracket \llbracket \bar{X} \rightarrow \bar{e} \rrbracket) \wedge (s = \llbracket \bar{X} \rightarrow \bar{e} \rrbracket) \\ & = \langle \exists \bar{x}. (S \wedge \llbracket B \rrbracket \llbracket \bar{X} \rightarrow \bar{e} \rrbracket) \wedge X_1=e_1 \wedge \dots \wedge X_n=e_n \rangle \end{aligned}$$

- ▶ Hence

$$\begin{aligned} SP (\text{IF } B \text{ THEN } C_1 \text{ ELSE } C_2) \langle \exists \bar{x}. S \wedge X_1=e_1 \wedge \dots \wedge X_n=e_n \rangle \\ = SP C_1 \langle \exists \bar{x}. (S \wedge \llbracket B \rrbracket \llbracket \bar{X} \rightarrow \bar{e} \rrbracket) \wedge X_1=e_1 \wedge \dots \wedge X_n=e_n \rangle \\ \vee \\ SP C_2 \langle \exists \bar{x}. (S \wedge \neg \llbracket B \rrbracket \llbracket \bar{X} \rightarrow \bar{e} \rrbracket) \wedge X_1=e_1 \wedge \dots \wedge X_n=e_n \rangle \end{aligned}$$

- ▶ Prune paths by checking $S \wedge \llbracket B \rrbracket \llbracket \bar{X} \rightarrow \bar{e} \rrbracket$ and $S \wedge \neg \llbracket B \rrbracket \llbracket \bar{X} \rightarrow \bar{e} \rrbracket$

Approximate symbolic execution of while-loops

- ▶ Symbolically execute straight line code as before
- ▶ For while-loops, recall from previous slide

$$ASP(\text{WHILE } B \text{ DO } \{R\} C) P = \lambda s'. SAT(P) \wedge R s' \wedge \neg(\llbracket B \rrbracket s')$$

- ▶ Hence execute while-loops as follows

$$\begin{aligned} ASP(\text{WHILE } B \text{ DO } \{R\} C) & \langle \exists \bar{x}. S \wedge X_1=e_1 \wedge \dots \wedge X_n=e_n \rangle \\ & = \langle \exists \bar{x}. ((\exists \bar{x}. S \bar{x}) \wedge R[\bar{X} \rightarrow \bar{x}] \wedge \neg \llbracket B \rrbracket [\bar{X} \rightarrow \bar{x}]) \\ & \quad \wedge \\ & \quad X_1=x_1 \wedge \dots \wedge X_n=x_n \rangle \end{aligned}$$

- ▶ constraint S computed up to loop is discarded
- ▶ create new state satisfying invariant and loop exit condition
- ▶ link between pre and post loop states provided by VCs

$$\begin{aligned} SVC(\text{WHILE } B \text{ DO } \{R\} C) P = \\ \text{TAUT}(P \Rightarrow R) \wedge \text{TAUT}(ASP C (R \wedge \llbracket B \rrbracket) \Rightarrow R) \wedge SVC C (R \wedge \llbracket B \rrbracket) \end{aligned}$$

Two cultures have evolved from Floyd-Hoare ideas

- ▶ Bounded model checking (BMC)
 - ▶ unwind loops a finite number of times
 - ▶ then symbolically execute forwards
 - ▶ essentially $SP \ C \ P \Rightarrow Q$
 - ▶ automatically check states reached satisfy properties
- ▶ Full proof of correctness
 - ▶ generate verification conditions
 - ▶ usually backwards by computing weakest preconditions
 - ▶ essentially $P \Rightarrow WP \ C \ Q$
 - ▶ interactively prove resulting subgoal formulae
- ▶ Computing postconditions unifies BMC and full verification
 - ▶ symbolic execution is ASP calculation
 - ▶ add forward VCs for verification of loops
- ▶ Other application of Floyd-Hoare ideas
 - ▶ *refinement*:
synthesize code to achieve a postcondition (WP)
 - ▶ *reverse engineering*:
execute symbolically to find out what code does (SP)

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Overview of implementation

- ▶ Everything is programmed deduction in a theorem prover
 - ▶ semantic embedding plus custom theorem proving tools
 - ▶ for efficiency external oracles used to prune paths
 - ▶ oracle provenance tracking via theorem tags
- ▶ HOL4 used for implementation of theorem proving
 - ▶ provides higher order logic for representing semantics
 - ▶ LCF-style proof tools (deriving Hoare logic, solving VCs)
 - ▶ ML for proof scripting and general programming
- ▶ YICES used as oracle (future: Z3)
 - ▶ SMT solver from SRI International
 - ▶ used to quickly check conditional branch feasibility
 - ▶ 'blow away' easy VCs (hard ones by HOL4 interactive proof)
- ▶ Experiments needed to compare forwards vs backwards!



THE END

Slides at: <http://www.cl.cam.ac.uk/~mjcg/Hoare75/>



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